

**CALL FICHE**

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|-------------------------|------------------------------------|
| <b>Call identifier:</b> | <b>FP7-ENV-2011-ECO-INNOVATION</b> |
| <b>Deadline:</b>        | 16 November 2010                   |
| <b>Program:</b>         | FP7                                |

| <b>Topic</b>          |  |  |
|-----------------------|--|--|
| <b>Theme/Activity</b> | <b>Topic called</b>  | <b>Funding scheme and additional eligibility criteria</b>  |
| ENV.2011.3.1.9-1      | Eco-innovation!  | <i>Collaborative Project<br/>No budget limitation per proposal or upper limit of number of proposals to be funded. Proposals will only be selected for funding on the condition that the estimated EU contribution going to SME(s) is 30% or more of the total estimated EU contribution for the project as a whole. This will be assessed at the end of the negotiation, before signature of the grant agreement. Proposals not fulfilling this criterion will not be funded.</i> |
| ENV.2011.3.1.9-2      | Development of eco-efficiency meso-level indicators for technology assessment                                      | <i>Collaborative Project<br/>The requested European Union contribution shall not exceed EUR 2 500 000. A maximum of one project may be funded.</i>   |
| ENV.2011.3.1.9-3      | Macro-level Indicators to monitor the environmental impact of innovation   | <i>Collaborative Project<br/>The requested European Union contribution shall not exceed EUR 2 500 000. A maximum of one project may be funded.</i>   |
| ENV.2011.3.1.9-4      | Dynamic e-dissemination systems and platforms for enterprises including SMEs to exploit results for eco-innovation | <i>Coordination and Support Action (Supporting Action).<br/>The requested European Union contribution shall not exceed EUR 1 000 000.</i>  |

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| <b>Submission stages:</b> | 1 o 2 |
|---------------------------|-------|

|   |  |
|---|--|
| <b>Consortium Agreement:</b>                                  | <input type="checkbox"/> Yes / <input type="checkbox"/> No |
| Participants are required to conclude a consortium agreement. |  |

|  |  |
|--|--|
| <b>Participants:</b>   |  |
| The minimum number of participating legal entities required, for all funding schemes, is set out in the Rules for Participation. They are summarised in the table below:                         |  |
| <b>Funding scheme</b>  | <b>Minimum conditions</b>  |
| Collaborative Project  | At least 3 independent legal entities, each of which is established in a MS or AC, and no two of which are established in the same MS or AC. |
| Coordination and support action (supporting action)  | At least 1 independent legal entity.   |
| Only information provided in part A of the proposal will be used to determine whether the proposal is eligible with respect to budget thresholds and/or minimum number of eligible participants. |  |

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| <b>Budget constraints:</b>   |                          |
| <b>Topics</b>  | <b>Indicative Budget</b> |
| ENV.2011.3.1.9-1 Eco-innovation!   | EUR 43 000 000           |
| ENV.2011.3.1.9-2 Development of eco-efficiency meso-level indicators for technology assessment   | EUR 7 000 000            |
| ENV.2011.3.1.9-3 Macro-level Indicators to monitor the environmental impact of innovation  |                          |
| ENV.2011.3.1.9-4 Dynamic e-dissemination systems and platforms for enterprises including SMEs to exploit results for eco-innovation  |                          |
| In case the budget for the topics ENV.2011.3.1.9-2, ENV.2011.3.1.9-3 and ENV.2011.3.1.9-4 cannot be consumed (totally or partially) the remaining budget will be returned to the topic ENV.2011.3.1.9-1. |                          |

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| <b>Grant Information:</b> |
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## Maximum Reimbursement Rates

The upper limits foreseen in the Rules for Participation (Article 33) for the Community financial contribution are summarised in the following table.

|  | Non-profit public bodies,<br>secondary and higher education<br>establishments, research<br>organisations and SMEs | All other organisations |
|--|---|-------------------------|
| Research and<br>technological<br>development activities                | 75%   | 50% <sup>5</sup>        |
| Demonstration activities   | 50%   | 50%                     |
| Coordination and support<br>actions                                    | 100%  | 100%                    |
| Management, audit<br>certificates and other<br>activities <sup>6</sup> | 100%  | 100%                    |

<sup>4</sup> As confirmed by Decision C(2009)4459 of 15 June 2009.

<sup>5</sup> For security related research and technological development activities, (Chapter 10 of this work programme) the Community financial contribution may reach a maximum of 75% in the case of the development of capabilities in domains with very limited market size and a risk of 'market failure' and for accelerated equipment development in response to new threats. Further information is given in Chapter 10.

<sup>6</sup> Including, inter alia training in actions that do not fall under the funding schemes for training and career development of researchers, coordination, networking and dissemination (as set out in Article 33(4) of the Rules for Participation).

## **Relevant documents:**

**Call Fiche ENV-2011-ECO-INNOVATION**

**Work Programme 2011 - Environment (including Climate Change)**

**Cooperation Work Programme 2011 - General Introduction**

**Cooperation Work Programme 2011 - General Annexes**

**Guide for Applicants ( Call specific Annexes) - Support actions (CSASA)**

**Guide for Applicants (Common part) - Support actions (CSASA)**

**Guide for Applicants (Call specific Annexes) - Collaborative projects (CP)**

**Guide for Applicants (Common part) - Collaborative projects (CP)**

**FP7 factsheets**

## **Other recommendations:**

### **Characteristics of the projects:**

#### **TOPIC ENV.2011.3.1.9-1 Eco-innovation!**

The eligibility criteria apply to both first and second stage proposals. At stage 1, part B will be used to check the number of eligible participants. At stage 2, part A will be used alone to determine the eligibility conditions.

No budget limitation per proposal or upper limit of number of proposals to be funded. Proposals related to solutions beyond prototype stage or proposals that have successfully completed the research and development phase and that are ready for first application or market replication are outside the scope of this call and should be addressed to the competitiveness and Innovation Programme (CIP) on eco-innovation.<sup>36</sup> Proposals only addressing technology already existing at the scale of prototype are also outside the scope of this call. They could be eligible in the frame of LIFE+ Environment Programme, provided they remain at the scale of a prototype. Proposals exclusively targeting energy (including carbon capture and storage) or transport technologies are outside the scope of this call and should be addressed to the relevant Framework Programme Themes.

#### **Evaluation procedure:**

Proposals will not be evaluated anonymously. Proposals will be evaluated remotely with the consensus session being held in Brussels. A reserve list of proposals might be established.

- The evaluation criteria (including weights and thresholds) and sub-criteria together with the eligibility, selection and award criteria for the different funding schemes are set out below and complemented by annex 2 to the work programme.
- The evaluation of the topic ENV.2011.3.1.9-1 shall follow a two stages procedure. The first stage proposal, of a maximum of 15 pages (A4 pages; font size 11 points; top, bottom, left right margins: 15mm) should focus on the S&T content and on clear identification of the intended results, their intended use and the expected impact (economic, social, environmental, etc.), and 2 additional pages to describe the consortium and the estimated financial resources involved.. The Commission will instruct the experts to disregard any pages in excess of these limits.
- Stage 1 proposals shall be submitted by the closure date mentioned above (16/11/2010 at 17:00.00, Brussels local time).
- For successful first-stage proposals, coordinators will receive an invitation to submit their full proposal instead of an *Evaluation Summary Report*. This full proposal will then be evaluated against the entire set of evaluation criteria. The closure date of the second submission will be specified in the invitation to submit the complete proposal. The indicative closure date for stage 2 is 26/04/2011 at 17:00.00, Brussels local time.
- There will be a specific ranking list for the topic ENV.2011.3.1.9-1. At the Review Panel stage, proposals with equal overall scores will be prioritised according to their scores for the S/T Quality criterion. If they are still tied, they will be prioritised according to their scores for the Impact criterion.
- Hearings will not be organised.

### **Required documents to apply:**

The general eligibility criteria are set out in Annex 2 of this work programme, and in the guide for applicants. Please note that the completeness criterion also includes that part B of the proposal shall be readable, accessible and printable.

### **Contact point:**

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28040  
Madrid  
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Evaluation stage 2 proposals: remote phase in May 2011, consensus phase in June 2011.  
Evaluation results estimated to be available by mid July 2011.**Exploitation remarks**

Grant agreements of projects financed under this call for proposals will include the special clause on the "Open access Pilot in FP7". This includes the obligation on beneficiaries to ensure free access to peer-reviewed articles resulting from projects via an institutional or subject-based repository.

**Minimis**

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